

On Sarnak's saturation problem

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We will see that, conjecturally, there is. We prove several weaker versions of this claim, and suggest some ideas for future work.

Rational points

Write points $\mathbf{X} \in \mathbb{Q}^s$ with rational co-ordinates in s -dimensional space as

$$\mathbf{X} = \mathbf{x}'/x_{s+1} = (x_1/x_{s+1}, \dots, x_s/x_{s+1}),$$

where $\gcd(x_1, \dots, x_{s+1}) = 1$ and $x_{s+1} > 0$; we call $\mathbf{x} = (x_1, \dots, x_{s+1})$ the vector of *homogeneous co-ordinates*.

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The *integer points* $\mathbf{X} \in \mathbb{Z}^s$ are the points with $x_{s+1} = 1$. If S is a set of primes, the *S -integer points* $\mathbf{X} \in \mathbb{Z}[S^{-1}]^s$ are the points for which x_{s+1} is divisible only by primes in S .

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E.g.

$$\mathbb{Z}[\{2\}^{-1}]^2 = \{(a/2^n, b/2^n) : a, b, n \in \mathbb{Z}, n \geq 0\}$$

is the set of points with homogeneous co-ordinates $(a, b, 2^n)$.

Varieties

Write points $\mathbf{X} \in \mathbb{Q}^s$ in terms of *homogeneous co-ordinates* $\mathbf{x} = (x_1, \dots, x_{s+1})$,

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where $\gcd(x_1, \dots, x_{s+1}) = 1$ and $x_{s+1} > 0$.

Any system of polynomial equations with rational coefficients,

$$F_1(\mathbf{X}), \dots, F_m(\mathbf{X}) = 0,$$

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We say that the f_i (or F_i) define a *variety* V , and the rational solutions $\mathbf{X}$ to

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are the *rational points* of V (with homogeneous co-ordinates $\mathbf{x}$ where $f_1(\mathbf{x}), \dots, f_m(\mathbf{x}) = 0$).

Similarly, $V(\mathbb{Z})$ is the set of integral solutions to

$$F_1(\mathbf{X}), \dots, F_m(\mathbf{X}) = 0,$$

and $V(\mathbb{Z}[S^{-1}])$ is the set of S -integral solutions.

Zariski topology

The *Zariski topology* is defined by taking all sets of the form

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E.g. $X(Y - 1) = 0$ is reducible, being the union of $X = 0$ and $Y = 1$.

Prime factors

Let $\Omega(x_1 \cdots x_{s+1})$ be the total number of prime factors of the homogeneous co-ordinates, counted with multiplicity. Set $\Omega(0) = \infty$.

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(For the number of prime factors without multiplicity write $\omega(x_1 \cdots x_{s+1})$.)

E.g. the points of $X(Y - 1) = 0$ with $\Omega(XY) \leq r$ must all have $X \neq 0$ and hence $Y = 1$. This funny behaviour is why we restrict to irreducible varieties.

Saturation

Let $\mathcal{O}$ be a set of rational points in s -dimensional space. We say that $\mathcal{O}$ *saturates* or has *finite saturation* if, for large enough r , the points of $\mathcal{O}$ with

$$\Omega(x_1 \cdots x_{s+1}) \leq r$$

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That is: $\mathcal{O}$ saturates unless, for every r , there is some polynomial which doesn't vanish on the whole of $\mathcal{O}$, but which vanishes on all the points of $\mathcal{O}$ with

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E.g. in one-dimensional space, $\mathcal{O} = \{2^k : k \in \mathbb{N}\}$ does not saturate, because $(X - 1) \cdots (X - 2^r)$ vanishes on the points with $\Omega(2^k) \leq r$, but not on $\mathcal{O}$. A version of that argument would work any time $\mathcal{O}$ is infinite and every $\Omega(x_1 \cdots x_{s+1}) \leq r$ defines a finite subset.

Previous work

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Some of the more general results:

- Sofos and Wang (2019) showed that if V is $\mathbb{Q}$ -unirational then $V(\mathbb{Q})$ has finite saturation.
- Schindler and Sofos (2019) showed that $V(\mathbb{Q})$ has finite saturation if V is a smooth complete intersection of large codimension defined by a system of equations with the same degree.

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Bourgain, Gamburd and Sarnak (2010) mention an example for which, conjecturally, $V(\mathbb{Z})$ does not saturate.

Example: integral points on a conic

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Consider

$$X^2 + XY - Y^2 = 1$$

as an irreducible variety V in two-dimensional space. The integral points in $V(\mathbb{Z})$ are (F_{2n-1}, F_{2n}) , where F_m is the m th Fibonacci number and we put

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Conjecturally (see Bugeaud-Luca-Mignotte-Siksek 2010) we have

$$\omega(F_{2n}) \rightarrow \infty.$$

So $\Omega(x_2) \leq r$ defines a finite subset of $V(\mathbb{Z})$, and $V(\mathbb{Z})$ does not saturate.

Example: rational points on an elliptic curve

Consider the irreducible variety $E_{A,B}$ in two-dimensional space defined by

$$Y^2 = X^3 + Ax + B,$$

for some fixed $A, B \in \mathbb{Z}$. If $4A^3 + 27B^2 \neq 0$ this is an *elliptic curve*. Choose A, B so that $E_{A,B}(\mathbb{Q})$ is of rank 1 and is torsion-free.

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According to a conjecture of Everest and King (2005), for any fixed r there should be only finitely many points

$$(X, Y) = (x_1/x_3, x_2/x_3)$$

of $E_{A,B}(\mathbb{Q})$ such that x_3 has r or fewer prime factors.

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But $E(\mathbb{Q})$ is infinite, so according to the conjecture it does not saturate.

Example: Hilbert 10

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The MDRP Theorem (Matiyasevich–Robinson–Davis–Putnam) shows that, for any recursively enumerable set $\mathcal{A} \subseteq \mathbb{Z}$, there is a variety V such that the set $\mathcal{A}$ is precisely the set of values taken by x_1 on the integral points $V(\mathbb{Z})$.

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Choosing $\mathcal{A}$ to be the powers of 2, we find that x_1 is unbounded on $V(\mathbb{Z})$ but takes only finitely many values with $\Omega(x_1) \leq r$. The same is true for some component W of V , giving an irreducible variety which does not saturate.

Example: Hilbert 10 (continued)

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We can be more explicit using the explicit construction for the exponential function of Sun (1992), who greatly simplified and improved the explicit version of MDRP. This gives us an explicit (fairly long) equation in eight variables whose integer solutions satisfy

$$X_1 = 2^{2+X_2^2},$$

and hence a variety in eight-dimensional space whose integer points do not saturate.

Example: an extremely large subring of $\mathbb{Q}$

Theorem ((RM 2016))

Suppose that E is an elliptic curve and (technical condition) that $E(\mathbb{Q})$ is torsion-free of rank 1, generated by P such that $\ell \mid x_3(\ell^M P)$ for some $M \in \mathbb{N}$ and some prime ℓ . Let $A_N \rightarrow \infty$ be a non-decreasing sequence. There is a set of primes S with natural density 1, and which in fact satisfies

$$\#\{p \leq N : p \notin S\} \leq \sqrt{A_N \log N},$$

such that $E(\mathbb{Z}[S^{-1}])$ does not saturate.

Concrete example 1

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E.g. Let E be the elliptic curve $y^2 = x^3 - x^2$. Then $E(\mathbb{Q}) \cong \mathbb{Z}$ is generated by $P = (1, 1)$ where

$$2P = \left(\frac{13}{4}, -\frac{53}{8}\right)$$

and

$$3P = \left(\frac{5 \cdot 101}{3^4}, \frac{12203}{3^6}\right),$$

and so the hypothesis of the theorem is satisfied for both $\ell = 2$ and $\ell = 3$.

Concrete example 2

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E.g. Let E be the elliptic curve

$$y^2 + xy + y = x^3 - x^2.$$

Then $E(\mathbb{Q}) \cong \mathbb{Z}$ is generated by $P = (0, 0)$, and we have

$$4P = \left(-\frac{1}{4}, -\frac{5}{8}\right),$$

so the theorem applies with $\ell = 2$ and $\mathcal{O} = E(\mathcal{O}_{\mathbb{Q}, S})$.

Example: an extremely large subring of $\mathbb{Q}$

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Proof idea: the “indicator primes” construction of Cornelissen and Shlapentokh (2009).

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Proof idea: the “indicator primes” construction of Cornelissen and Shlapentokh (2009).

We will choose the set S to contain all primes except one indicator prime for each point nP , where $n \notin \{\ell^k\}$ and $x_3(nP)$ is not smooth.

Question: an even larger set of semi-integral points

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If S contained all but finitely many primes, an argument of Robinson (1949) would yield a variety V such that $V(\mathbb{Q})$ does not saturate. But this seems beyond reach for now.

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A set of *semi-integral points* on V is any subset of $V(\mathbb{Q})$ defined by conditions of the form

$$v_p(x_{s+1}) \in E_p,$$

where $p^{v_p(x)}$ is the exact power of p dividing x , and E_p is some sequence of sets.

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Question: Find a variety V and a sequence e_p such that the semi-integral points of V do not saturate, and such that the set

$$\{x : v_p(x) \in E_p, \forall p\}$$

is bigger than $\mathbb{Z}[S^{-1}]$ (in some reasonable sense).

Question: thin sets

A subset of $V(\mathbb{Q})$ is a *type II thin set* if it is contained in $\pi(W(\mathbb{Q}))$, where W is an irreducible variety and $\pi : W \rightarrow V$ is a generically finite, dominant morphism with degree ≥ 2 .

To be very sloppy indeed, a type II thin set is one that is parametrised by nonlinear polynomials. E.g. the set of rational squares is a thin subset of $\mathbb{Q}$.

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Question: find an irreducible variety V such that $V(\mathbb{Q})$ is not thin, but for every r the subset defined by $\Omega(x_1 \cdots x_{s+1}) \leq r$ is thin.

Question: thin sets

A subset of $V(\mathbb{Q})$ is a *type II thin set* if it is contained in $\pi(W(\mathbb{Q}))$, where W is an irreducible variety and $\pi : W \rightarrow V$ is a generically finite, dominant morphism with degree ≥ 2 .

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Motivation: Using Koenigsmann (2016), for any V one can construct a variety W and a morphism π such that

$$V(\mathbb{Q}) \setminus \pi(W(\mathbb{Q})) = V(\mathbb{Z}).$$

But: π is not generically finite (W has bigger dimension than V).